

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of:

**Daniel BREEN, et al.**

Group Art Unit: **3692**

Serial No.: **10/820,876**

Examiner: **SUBRAMANIAN, Narayanswamy**

Filed: **April 9, 2004**

For: **SYSTEM AND METHOD FOR INTEGRATING A CONVERTIBLE  
SECURITY WITH A CALL SPREAD**

U.S. Patent and Trademark Office  
Customer Service Window, Mail Stop Amendment  
Randolph Building  
401 Dulany Street  
Alexandria, VA 22314

**RESPONSE TO NON-FINAL OFFICE ACTION**

Dear Sir:

In response to the Non-Final Office Action mailed July 25, 2007, the undersigned representative respectfully requests reconsideration of the rejections of record in light of the following remarks:

**Amendments to the Claims** are reflected in the Listing of Claims that begins on page 2 of this paper.

**Remarks** begin on page 8 of this paper.